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By:   
Brendy Belony

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Michikazu HORIE  
Filed : October 15, 2003  
For : OPTICAL RECORDING MEDIUM...  
Serial Number : 10/686,760

April 5, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449. Copies of the documents listed on Form PTO-1449 were made of record and considered by the Examiner in parent application U.S. 09/942,277 filed August 27, 2001. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

As this statement is being filed prior to issuance of a final Office Action, please charge a fee of \$180.00 to Deposit Account 50-1290 in accordance with 37CFR §§1.97(c) and 1.17(p).

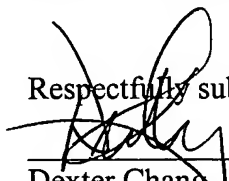
The present Disclosure Statement is being submitted in compliance with 37 CFR §1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §§1.104 (a) and 1.106 (b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Account 50-1290.

Respectfully submitted,

  
Dexter Chang  
Reg. No. 44,071

CUSTOMER NUMBER 026304  
Docket No.: SAN 18.676A

Please type a plus sign (+) → # 2006

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO

U.S. Department of Commerce  
Patent and Trademark Office

Application No. : 10/686,760

Filing Date : October 15, 2003

First Named Inventor : M. HORIE

Group Art Unit : 2653

Examiner Name :

Attorney Docket No. : SAN 18.676A

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		10-172149	JP	RICOH CO LTD.	06-26-1998	
		10-003697	JP	SHARP CORP	01-06-1998	
		61-005442	JP	MATSUSHITA ELECTRIC IND CO LTD	01-11-1986	

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached

Examiner Signature	Date Considered
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.